



#### Транспорт в неравновесном окружении.

Mesoscopic transport in nonequilibrium environment

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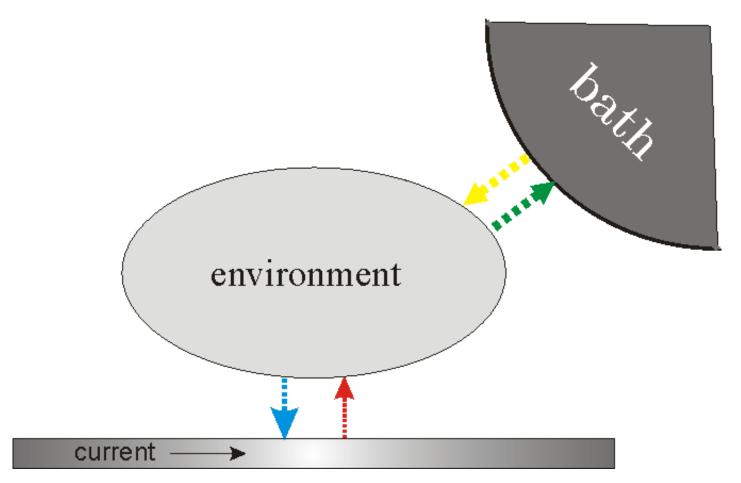


### Plan

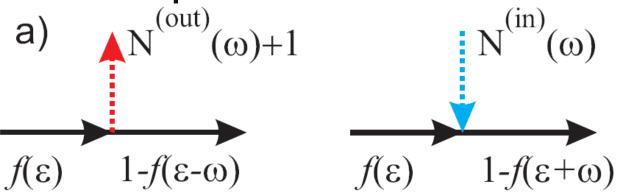
- ▲ Introduction. Old problems easily solvable using the concept of the environment.
- Chaos deblocade of tunneling current in arrays of Josephson junctions
- ▲ Tunnel junction in the nonequilibrium environment



- □ Environment and bath are different objects.
- □ Environment can become nonequilibrium.
- □ Environment can be tuned.



### Quasiparticle relaxation



### Scattering integral:

$$\frac{d\tilde{f}_e(\epsilon)}{dt} = -\int_{\omega} K^{(\rho)}(\omega, \epsilon) \{\tilde{f}_e(\epsilon)[1 - \tilde{f}_e(\epsilon - \omega)][1 + N_{\omega}] - [1 - \tilde{f}_e(\epsilon)]\tilde{f}_e(\epsilon - \omega)N_{\omega}\}$$

Electron-electron scattering: 
$$N_{\omega}=rac{1}{\omega}\int[1- ilde{f}_e(\epsilon_-)] ilde{f}_e(\epsilon_+)d\epsilon$$

Electron-phonon scattering:  $N_{\omega}$  -- Phonon distribution function

Electron-environment scattering ????

$$T \ll 1/\tau \frac{1}{\tau_T} = \frac{3\sqrt{3\pi}}{16} \zeta(\frac{3}{2})(\sqrt{8} - 1) \frac{\tau^{1/2}}{k_F l} \frac{T^{3/2}}{\mu \tau}$$

$$K_3(\omega) = \frac{\omega}{8\sqrt{2}\pi^2 N_3(0)D^{3/2}}, \quad \omega\tau << 1$$

In the disordered limit  $\lambda_{ph} \gg \ell_{el}$ , the temperature dependence of the electron-phonon scattering rate is expected to follow either the  $T^2$  or  $T^4$  law, depending on the nature of the disorder (Sergeev and Mitin, 2000).

Far from equilibrium transport phenomena in mesoscopic conductors are usually governed by the energy exchange between the transport agents (e.g., electrons) and the environment of the bosonic excitations represented, by phonons, photons, many-body excitations (e.g., electronhole pairs), the electromagnetic modes in the leads...

□ the Coulomb anomaly
□ the Coulomb drag
□ the hooping (cotunneling)
□ the many body localization
□ the resonance exciting of the environment modes
□ Spin resonance (e.g., NMR)

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### the resonance exciting of the environment modes

Coupled Superconducting Phase and Ferromagnetic Order Parameter Dynamics

I. Petković<sup>1\*</sup>, M. Aprili<sup>1</sup>, S. E. Barnes<sup>2,3</sup>, F. Beuneu<sup>4</sup>, and S. Maekawa<sup>5,6</sup>

Via a direct coupling between the magnetic order parameter and the singlet Josephson supercurrent, we detect spin-wave resonances, and their dispersion, in ferromagnetic Josephson junctions in which the usual insulating or metallic barrier is replaced with a weak ferromagnet. The coupling arises within the Fraunhofer interferential description of the Josephson effect, because the magnetic layer acts as a time dependent phase plate. A spin-wave resonance at a frequency  $\omega_s$  implies a dissipation that is reflected as a depression in the current-voltage curve of the Josephson junction when  $\hbar\omega_s = 2eV$ . We have thereby performed a resonance experiment on only  $10^7$  Ni atoms.

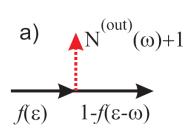
arXiv:0904.1780v1 [cond-mat.supr-con] 11 Apr 2009

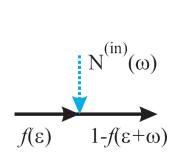


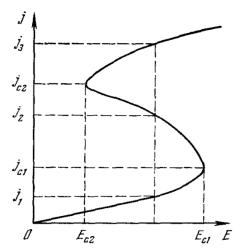
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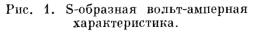
# heating

#### A.F. Volkov and S.M. Kogan, UFN 96, 633 (1968)









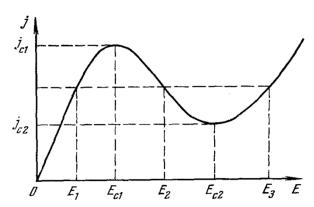
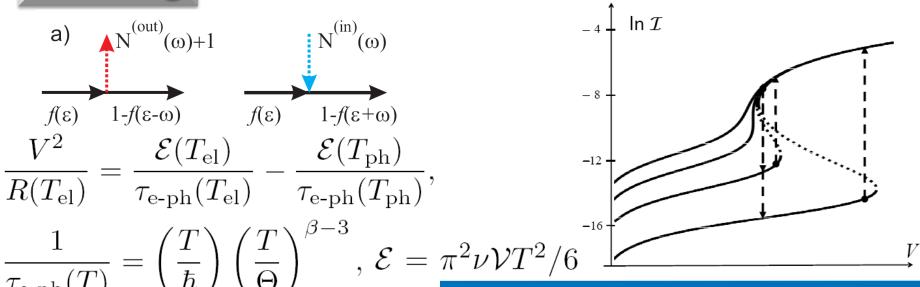


Рис. 2. N-образная вольт-амперная характеристика.

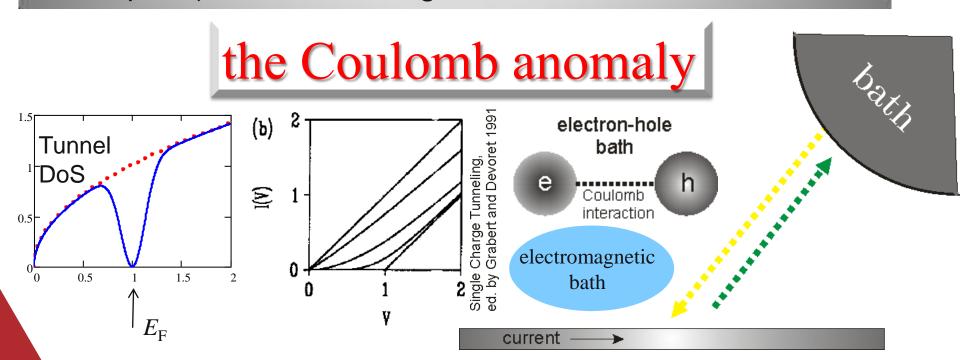
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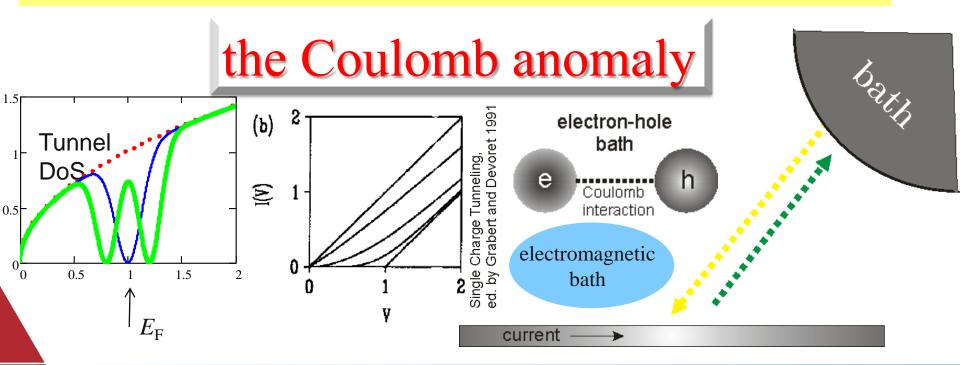
A.F. Volkov and S.M. Kogan, UFN 96, 633 (1968); rediscovered in B. Altshuler, et al, PRL 2009.



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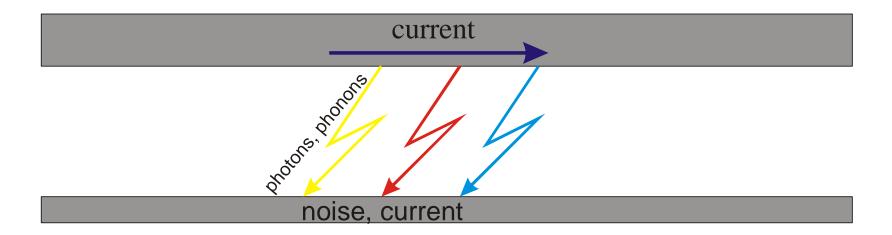


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# the Coulomb drag





Far from equilibrium transport phenomena in mesoscopic conductors are usually governed by the energy exchange between the transport agents (e.g., electrons) and the environment of the bosonic excitations represented, by phonons, photons, many-body excitations (e.g., electronhole pairs), the electromagnetic modes in the leads...

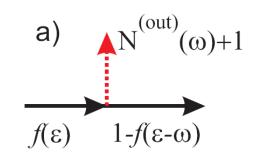
# hooping transport

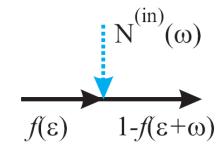
Nearest center hooping in impurity-band insulators/semiconductors.

$$\rho = \rho_{b0} \exp \frac{\varepsilon_b}{T}$$

Variable range hooping in impurity-band insulators/semiconductors.

$$\rho = \rho_0 \exp\left(\frac{T_M}{T}\right)^{1/4}$$





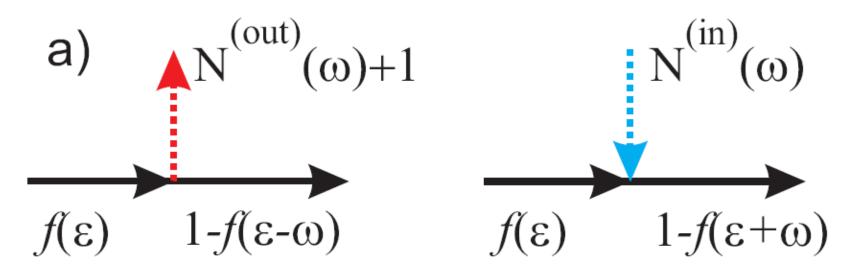
$$\rho = \rho_0 \exp\left(\frac{T_{ES}}{T}\right)^{1/2}$$



#### Mesoscopic transport in a nonequilibrium environment

#### The concept of an environment gives an opportunity:

- ✓ to unite different from the first glance physical phenomena,
- ✓ to develop the understanding of new physical problems.



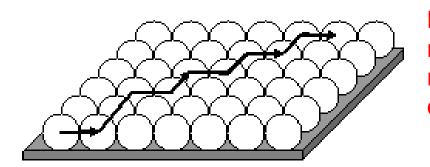
Example – "Many-body localization".



#### Hopping conductivity in tunnel junction arrays

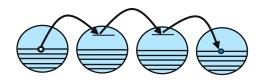
#### Efros-Shklovskii (or Mott)-type VRH should not be seen!!

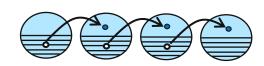
arrays of granules: electrons have to tunnel through other granules



Hopping between the nearest neighbors, and no room for the hopping distance optimization

#### tunneling via virtual states of intermediate grains





Elastic cotunneling mechanism melastic cotunneling mechanism

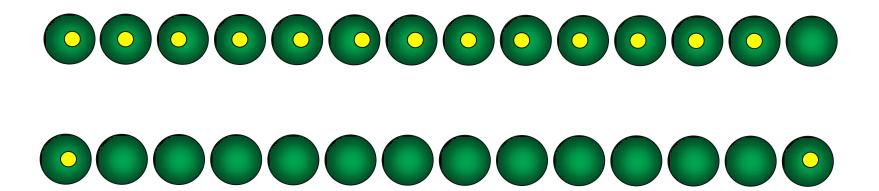
$$T < \sqrt{E_0^c \delta}$$



### Cotunneling

#### **Coherent propagation**





I. Beloborodov, A. Lopatin, V. Vinokur, PRB



#### Arrays: normal metal granules (N), Superconducting granules (S), Hybrid structures (SNS)...

And yet another puzzle of the VRH: the mechanism of relaxation...

$$\frac{3}{4}$$
  $\frac{3}{4}$  exp i  $\frac{T_0}{T}$ 

$$\frac{3}{40}$$
 »  $\frac{e^2}{h}$ 

e-e interactions

#### Semiconductors:

S.I. Khondaker et al., Phys. Rev. B **59** 4580 (1999)

I. Shlimak et al., Solid State Commun. 112 21 (1999)

A. Ghosh et al., Phys. Status Solidi B 230 (2002) 211.

#### Granular superconductors:

T.I. Baturina et al., Phys. Rev. Lett. **99**, 257003 (2007)

T.I. Baturina et al., Physica C **468**, 316 (2008)

T.I. Baturina et al., JETP Lett. 88, 752(2008)



### General results for array comprising of N junctions

$$\overrightarrow{\Gamma} = \left(\prod_{i=1}^{N} \frac{R_K}{4\pi^2 R_i}\right) S^2 \int d\epsilon d\epsilon' f_1(\epsilon) [1 - f_2(\epsilon')] P(\epsilon - \epsilon')$$

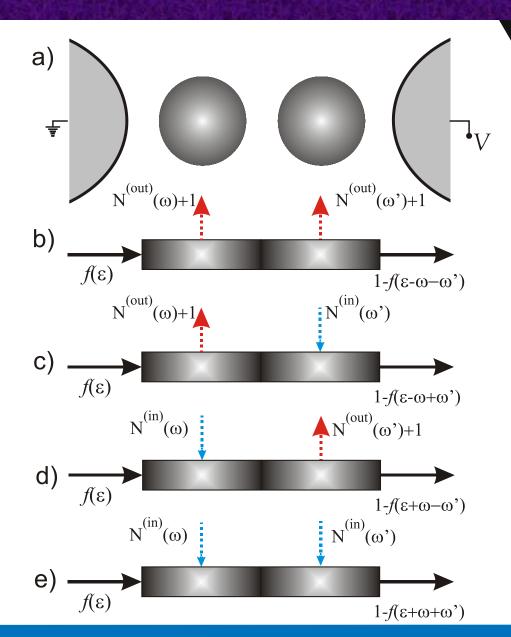
$$P(E) = \int_{-\infty}^{\infty} dt \exp[iEt] \left\{ \int_{0}^{\infty} d\omega \frac{\rho(\omega)}{\omega} \times \prod_{j \le N-1} \left[ N_{\omega,j}^{(\text{in})} e^{i\omega t} + (1 + N_{\omega,j}^{(\text{out})}) e^{-i\omega t} \right] \right\}.$$

$$I = e\left(\overrightarrow{\Gamma} - \overleftarrow{\Gamma}\right)$$

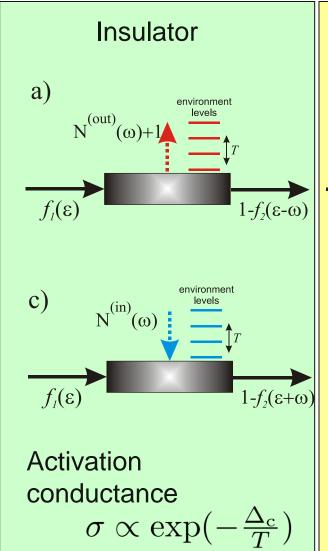


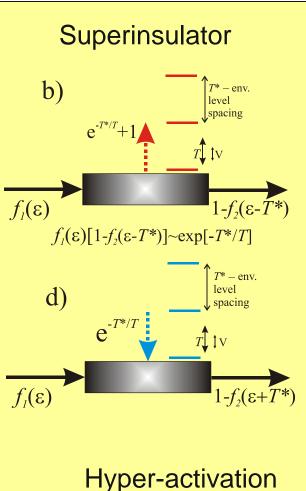
#### Cotunneling

a) The single electron circuit with the islands. Diagrams b)-e) correspond to the forward inelastic cotunneling rate through the structure. The red dotted lines show the electron-hole pairs that are excited during the cotunneling and the blue dotted lines show the annihilated e-h pairs. The vertices are shown They boxes. are proportional to  $\rho/\omega$  -- the probability of electron-hole pairs excitation during the charge transfer.



### **Corollaries: 2.** Microscopic mechanism of Insulator-Superinsulator transition





In two dimensions the electron-hole plasma experiences the charge Berezinskii-Kosterliz-Thouless transition (BKT) where the gap of the order of the charging energy  $E_c$  appears in the environment excitations spectrum. Opening this gap completely impedes both Cooper pairs- and normal quasiparticle currents in the superconducting tunneling array at  $T < E_c$ . This is the microscopic mechanism of the insulator-tosuperinsulator transition.

#### **Corollaries: 1. Many-body localization**

#### Finkel'stein action in Keldysh:

$$iS_F = -\frac{\sigma_N}{4} \operatorname{tr} \left[ (\check{\partial}_r Q)^2 - \frac{4}{D} \check{\partial}_t Q \right] - \frac{iF_{\text{int}}}{4\nu} \int_{tx} (\hat{\rho}_1)_{tx} (\hat{\rho}_2)_{tx} + 2i\nu \operatorname{tr} \left[ \overrightarrow{\phi}^{\tau} \sigma_x \overrightarrow{\phi} \right]$$

$$F_{\rm int} = F_{\rho}(1 + F_{\rho})$$

contact Coulomb interaction (singlet channel)

(classical) (quantum) density fluctuation

$$\hat{\rho}_{1} = -\frac{2\pi\nu}{1 + F_{\rho}} \left\{ \text{tr} \left( \sigma_{x} Q_{t',t'} \right) + \frac{\phi_{1}}{2\pi} \right\}, \qquad \hat{\rho}_{2} = -\frac{2\pi\nu}{1 + F_{\rho}} \left\{ \text{tr} \left( Q_{t',t'} \right) + \frac{\phi_{2}}{2\pi} \right\}$$

#### THE FLUCTUATION PROPAGATOR

$$\mathcal{D}^{(R)} \sim \frac{1}{Dq^2 - i\omega[1 + \Gamma_{\rho}]}$$

$$\Gamma_{\rho} = F_{\rho}/(1 + F_{\rho})$$

### **Corollaries: 1. many-body localization**

$$\mathcal{D}^{(R)} \sim \frac{1}{Dq^2 - i\omega[1 + \Gamma_{\rho}]}$$

$$Dq^2, \omega, \omega\Gamma_{\rho} \gg \delta \qquad \omega = 2\pi T n$$

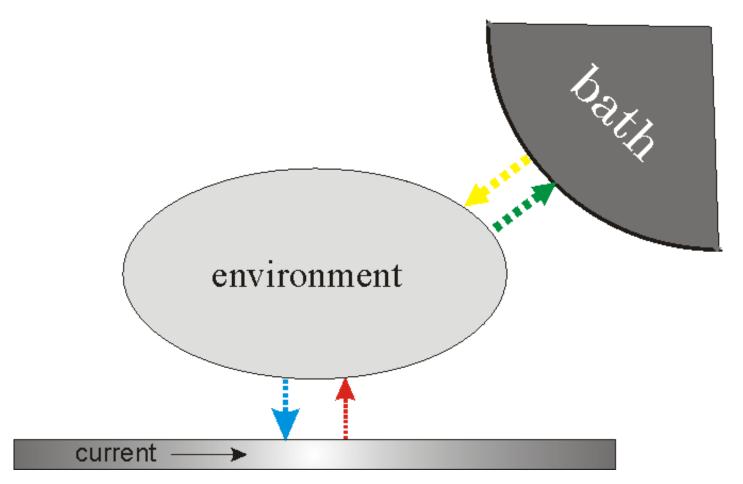
we get from  $\delta \ll T\Gamma_{\rho}$ 

"Many body localization"

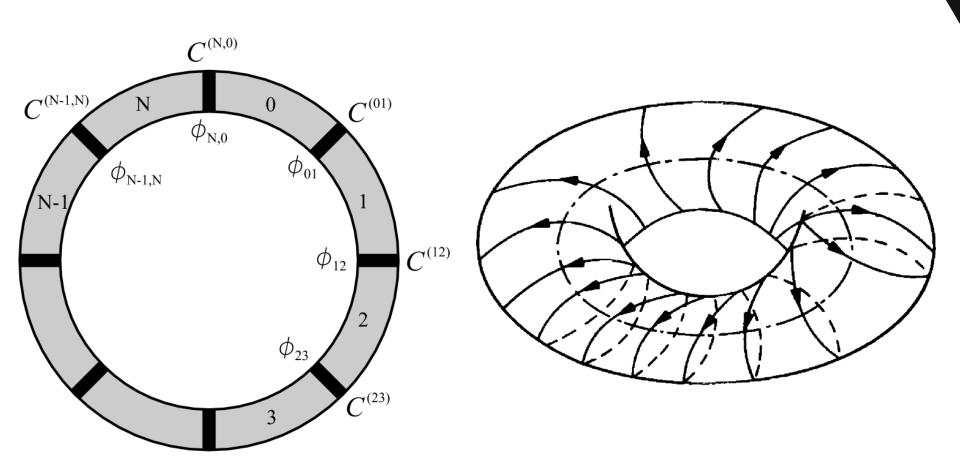
$$T \gg T^* = \delta/\Gamma_{
ho}$$



- □Environment and bath are different objects.
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### 1D array of Josephson junctions.



Турбулентность Ландау-Хопфа



$$I_{\rm qp}(V) = \frac{1}{eR_T} \int_{-\infty}^{\infty} dE dE' N_S(E) N_S(E') P(E - E') \times \{f_i(E)[1 - f_{i+1}(E')] - f_{i+1}(E)[1 - f_i(E')]\},$$

$$I_s = -\frac{2E_J^2}{\hbar^2} \int_{-\infty}^{\infty} dt \sin\left[2eVt\right] \operatorname{Im}[\mathcal{K}(t)]$$

$$\mathcal{K}(\omega) = \sum_{\{n\},\{m\}} P_{\{n\}} \left| \langle \{n\} | e^{i2\phi_{i,i+1}} | \{m\} \rangle \right|^2 \times 2\pi \delta(E_{\{n\}} - E_{\{m\}} + \omega)$$

$$P_{\{n\}} = Z^{-1} e^{-\sum_{i>0} \frac{E_c^{(i,i+1)} n_{i,i+1}^2}{2T}}$$



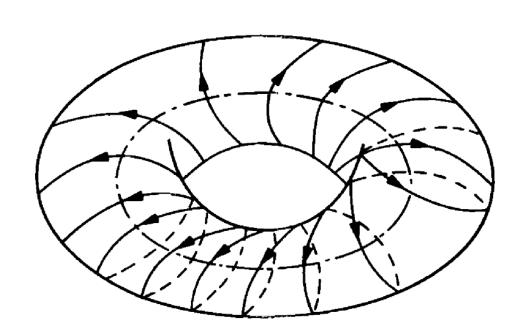
The quantum states of the annular JJAs have the wave function

$$|\{n\}\rangle = \prod_{i=0}^{N} \psi_{n_{i,i+1}}(\phi_{i,i+1}),$$

where  $\sum_{i=0}^{N} \phi_{i,i+1} = 0$  and  $\psi_n(\phi) = \exp\{in\phi\}/\sqrt{2\pi}$  is the wave function of the quantum rotator.

$$\mathcal{K}(t) = e^{i\Omega t} \sum_{i=1}^{N} A_{p_1 p_2 \dots p_N} \exp \left\{ i \sum_{i=1}^{N} p_i \, \varphi_i(t) \right\}$$

$$A_{p_1 p_2 \dots p_N} = P_{\{p\}} = Z^{-1} e^{-E_c^{(i,i+1)} p_i^2 / 2T}$$



$$I_{s} = D \left\{ e^{-\frac{(V+N\bar{E}_{c})^{2}}{2TN\bar{E}_{c}}} - e^{-\frac{(V-N\bar{E}_{c})^{2}}{2TN\bar{E}_{c}}} \right\} \vartheta \left( z, \mathcal{T} \right)$$

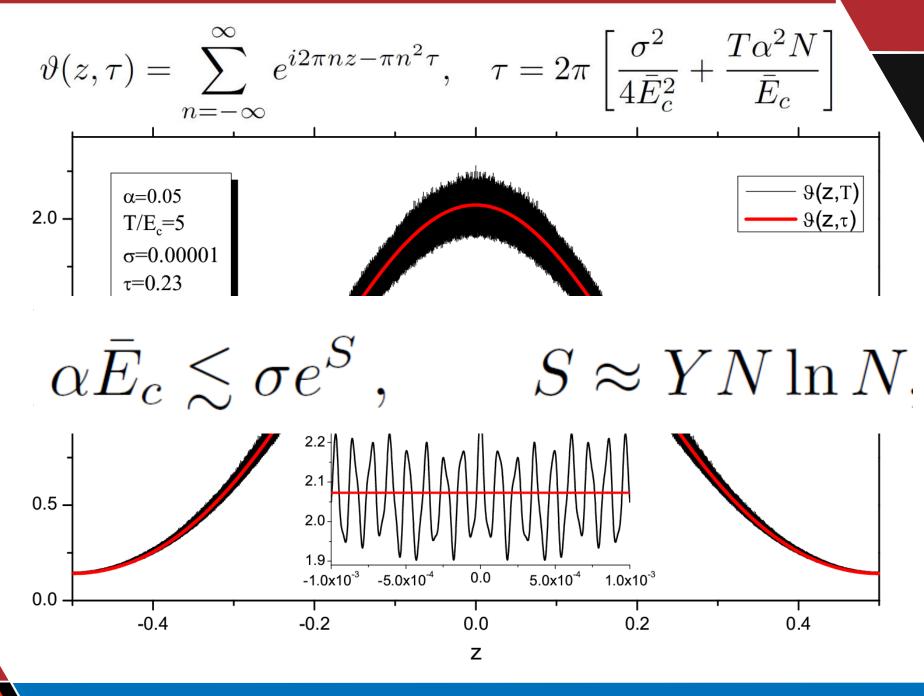
where 
$$D = \frac{\pi E_J^2 \sqrt{(2\pi T)^{N-1}}}{Z \sqrt{N \bar{E}_c^{N+1}}}$$
, and  $z = V/\bar{E}_c$ 

$$\vartheta(z,\mathcal{T}) = \sum_{\vec{m}} e^{i2\pi z \vec{m} \cdot \vec{a} - \pi \vec{m}^{\tau} \mathcal{T} \vec{m}}$$

$$\mathcal{T}_{ij} = \frac{2\pi T}{\bar{E}_c} H_{ij} + \frac{\pi \sigma^2}{2(N\bar{E}_c)^2}, \quad H_{ij} = \frac{1}{N} \left( \frac{\sum e}{e_i} \delta_{ij} - 1 \right)$$

Here 
$$\sum e = \sum_k e_k$$
.







$$I_{s} = D \left\{ e^{-\frac{(V+N\bar{E}_{c})^{2}}{2TN\bar{E}_{c}}} - e^{-\frac{(V-N\bar{E}_{c})^{2}}{2TN\bar{E}_{c}}} \right\} \vartheta \left( z, \mathcal{T} \right)$$

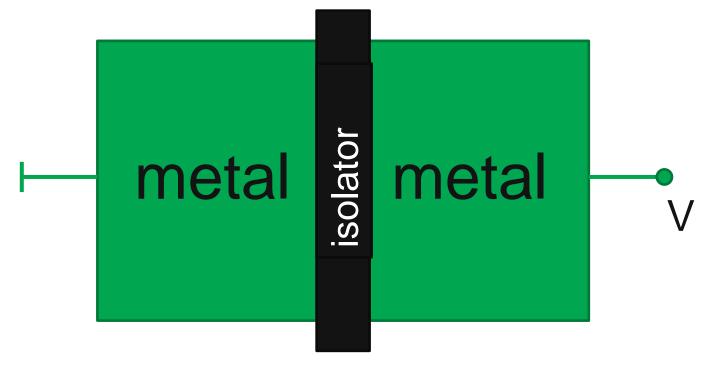
where 
$$D = \frac{\pi E_J^2 \sqrt{(2\pi T)^{N-1}}}{Z \sqrt{N \bar{E}_c^{N+1}}}$$
, and  $z = V/\bar{E}_c$ 

$$\mathcal{K}(t) = e^{i\Omega t} \sum_{i=1}^{N} A_{p_1 p_2 \dots p_N} \exp \left\{ i \sum_{i=1}^{N} p_i \, \varphi_i(t) \right\}$$

$$A_{p_1 p_2 \dots p_N} = P_{\{p\}} = Z^{-1} e^{-E_c^{(i,i+1)} p_i^2 / 2T}$$



# Tunnel junction

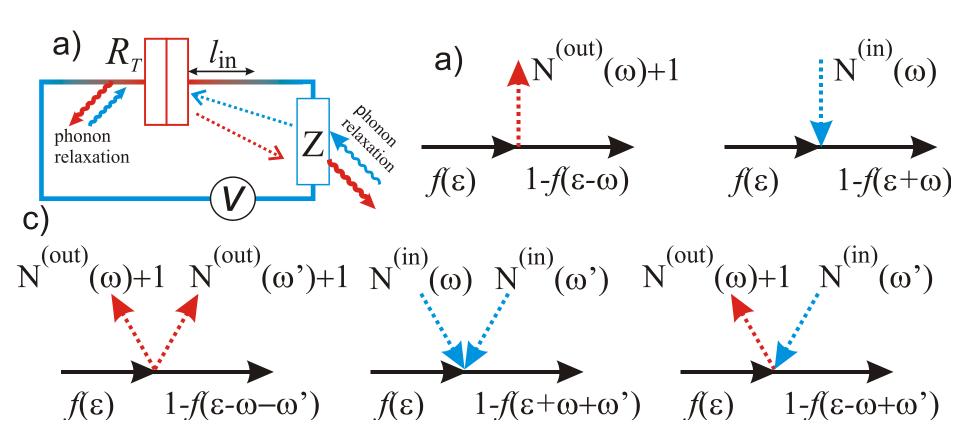


$$\vec{\Gamma}(V) = \frac{1}{e^2 R_T} \int_{-\infty}^{+\infty} dE dE' f(E) [1 - f(E' + eV)] P(E - E')$$

$$I(V) = V/R_T$$



- □ Environment and bath are different objects.
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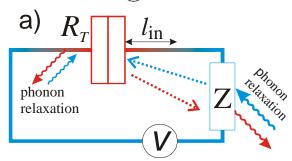
#### **General results**

$$I = e\left(\overrightarrow{\Gamma} - \overleftarrow{\Gamma}\right)$$

where  $\overline{\Gamma}$  is the tunneling rate from left to the right

$$\overrightarrow{\Gamma} = \frac{1}{R_{\rm T}} \int_{\epsilon \epsilon'} f_{\epsilon}^{(1)} (1 - f_{\epsilon'}^{(2)}) P^{<}(\epsilon - \epsilon')$$

$$P^{<}(E) = \int_{-\infty}^{\infty} dt \exp[J(t) + iEt],$$



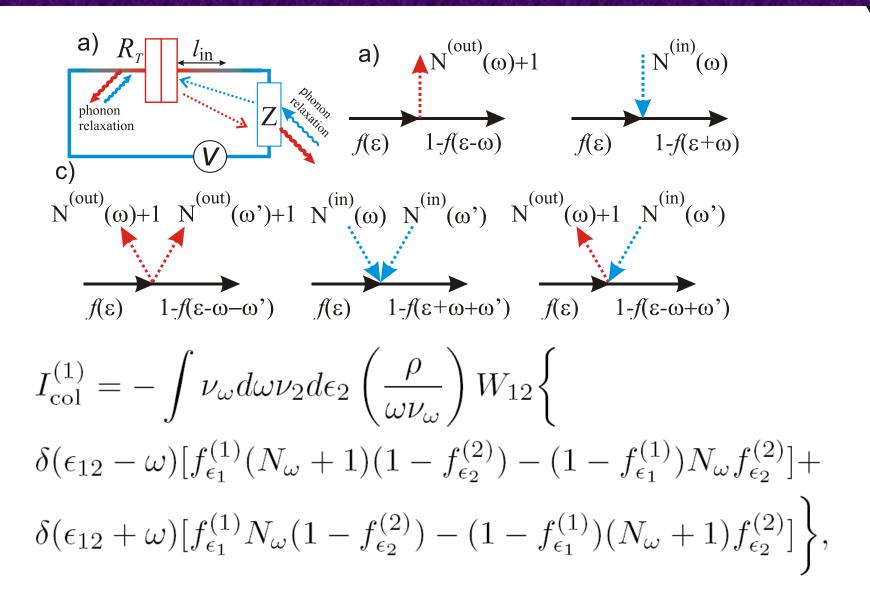
$$J(t) = 2 \int_0^\infty \frac{d\omega}{\omega} \rho_\alpha(\omega) F_\alpha(\omega) ,$$

$$F_{\alpha}(\omega) = \left[ N_{\omega}^{(\alpha)} e^{i\omega t} + (1 + N_{\omega}^{(\alpha)}) e^{-i\omega t} - B_{\omega}^{(\alpha)} \right],$$

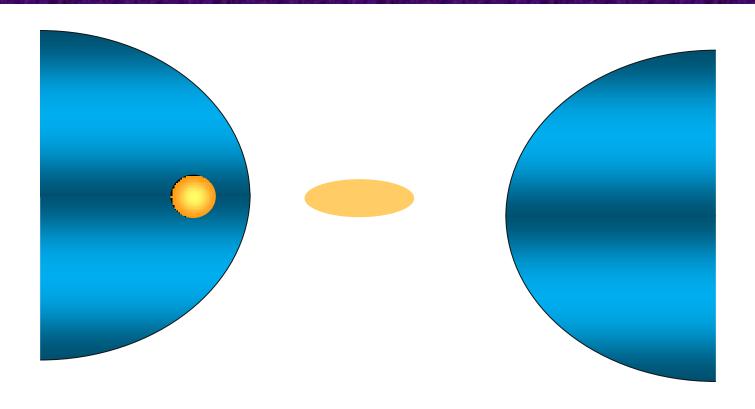
 $N_{\omega}^{(\alpha)}$  and  $1+N_{\omega}^{(\alpha)}$  combinations of the environmental excitations distribution functions describe absorbed and emitted environmental excitations and the index

$$B_{\omega}^{(\alpha)} = [1 + N_{\omega}^{(\alpha)}] + N_{\omega}^{(\alpha)}$$
 Equilibrium:  $B_{\omega} = \coth(\omega/2T)$ 

#### **Kinetic equations**



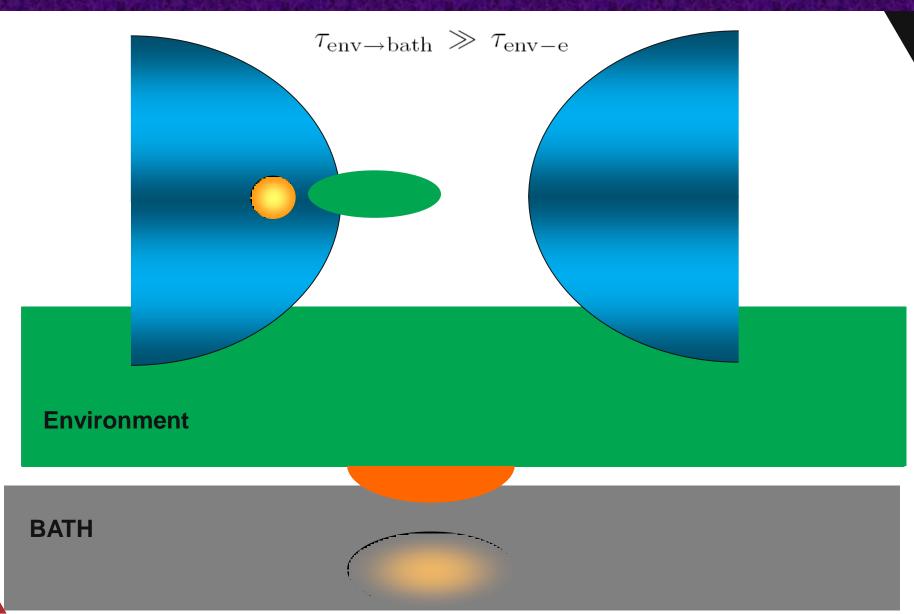
### **Environment and bath**



BATH

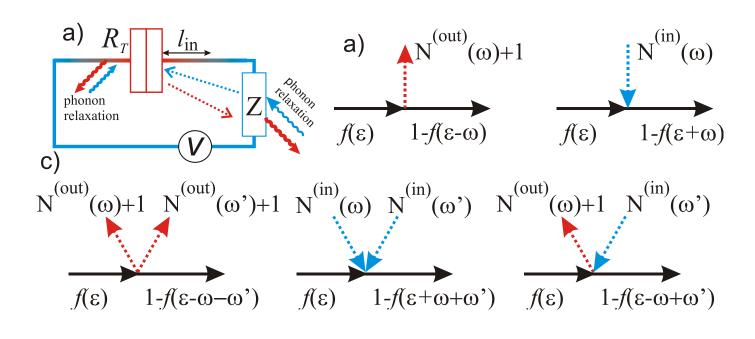


### **Environment and bath: two-stage relaxation**





#### **Kinetic equations**



$$\left(\frac{d}{dt}N_{\omega}^{(\alpha)}\right)_{\text{e-env}} \sim -\frac{\rho^{(\alpha)}(\omega)}{\nu_{\omega}^{(\alpha)}R_{\text{T}}} \times$$

$$\left[\sum_{\alpha} \chi(\alpha) \left(\alpha - \frac{1}{2}\right) \left(\alpha - \frac{1}{2}\right)\right] = 0$$

$$\left[N_{\omega}^{(\alpha)}(1+n_{\omega}^{(\alpha)})-(1+N_{\omega}^{(\alpha)})n_{\omega}^{(\alpha)}\right]$$

$$\left(\frac{d}{dt}N_{\omega}^{(\alpha)}\right)_{\text{e-env}} \sim -\frac{\rho^{(\alpha)}(\omega)}{\nu_{\omega}^{(\alpha)}R_{\text{T}}} \times \left[N_{\omega}^{(\alpha)}(1+n_{\omega}^{(\alpha)}) - (1+N_{\omega}^{(\alpha)})n_{\omega}^{(\alpha)}\right]$$

$$n_{\omega}^{(12)} = \frac{1}{2\omega} \int_{\epsilon} f_{\epsilon+\omega/2}^{(i)} \sigma_{ij}^{\mathbf{x}} (1 - f_{\epsilon-\omega/2}^{(j)})$$

$$1/\tau_{\text{env-e}}^{(\alpha)} = \rho^{(\alpha)}(\omega)/\nu_{\omega}^{(\alpha)}R_{\text{T}}$$

$$\rho_{\alpha=0}(\omega) = \text{Re}[Z_{\text{t}}(\omega)]/R_{\text{Q}}$$

$$\rho_{\alpha\in\{1,2\}}(\omega) = 2\operatorname{Im}\int_{\mathbf{q}}(D_{\alpha}q^{2} - i\omega)^{-2}\tilde{U}_{\alpha}$$

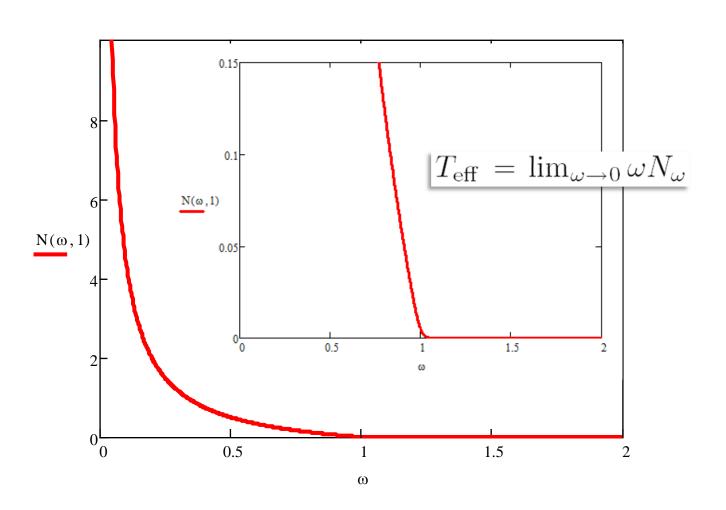
$$\rho_{3}(\omega) = -2\operatorname{Im}\int_{\mathbf{q}}[(D_{1}q^{2} - i\omega)(D_{2}q^{2} - i\omega)]^{-1}\tilde{U}_{3}$$



$$n_{\mathbf{B}}(\omega) := \frac{1}{\exp\left(\frac{\omega}{T}\right) - 1}$$

$$T := 0.01$$

$$N(\omega, V) := \frac{1}{2\omega} \cdot \left[ (\omega - V) \cdot n_B(\omega - V) + (\omega + V) \cdot n_B(\omega + V) \right]$$



#### Examples: I-V characteristics of a single junction

Introduce parameters:  $g = \rho(0)$ ;  $\Lambda$ , the characteristic frequency of the  $\rho(\omega)$  decay

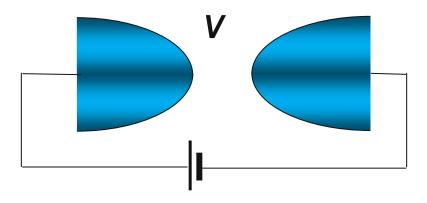
[for Ohmic model,  $\rho = g^{-1}/\{1 + (\omega/\Lambda)^2\}$  and  $\Lambda/g$  is of the order of the charging energy of the tunnel junction]

$$T_{\rm eff} = \lim_{\omega \to 0} \omega N_{\omega}$$

$$T_{\text{eff}} = 0.5V \coth(V/2T)$$

$$I \sim \frac{V}{R_{\mathrm{T}}} \ln \frac{\Lambda}{V}$$

$$T \ll V \ll \Lambda$$
 where  $T_{\rm eff} \simeq V$ 



T is the temperature in the leads

### Excess voltage

$$I(V/\Lambda \gg 1) \simeq \frac{V - \Delta_{\infty}}{R_{\mathrm{T}}},$$

$$\Delta_{\infty} = iJ'(t=0) = 2\int_{0}^{\infty} \rho d\omega \{1 + N_{\omega}^{(\text{out})} - N_{\omega}^{(\text{in})}\}.$$

In this regime  $V > \Lambda$ ,  $N_{\omega}^{(\text{out})} \sim \Lambda/\omega \gg N_{\omega}^{(\text{in})}$  and as a result the parameter  $\Delta_{\infty}$  acquires the voltage dependence:

$$\Delta_{\infty} \sim \Delta_{\infty}^{(0)} \ln(\Lambda/\min\{T_e, T_{\rm env}\}),$$

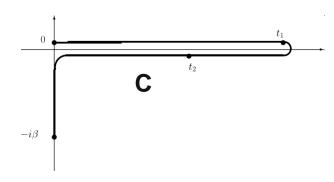
$$\Delta_{\infty}^{(0)} = \Delta_{\infty}[N^{(\text{out})} = N^{(\text{in})}] = 2 \int_{0}^{\infty} \rho d\omega \sim \Lambda/g \ll \Delta_{\infty}.$$



#### Nonequilibrium physics in superconductors

- in equilibrium physics  $\langle \hat{O}(t) \rangle = \mathrm{Tr} \left\{ \rho \hat{O}_H(t) \right\}$  with Heisenberg operator  $\hat{O}_H(t) = \hat{U}(t_0,t) \hat{O}\hat{U}(t,t_0)$
- in non-equilibrium the time evolution needs to be evaluated using the "Keldysh" contour C
- → the generating function is

$$\mathcal{Z} = \int \mathcal{D}m\mathcal{D}\phi \exp\left(-i\int_{\mathcal{C}} dt \left\{\mathcal{L}[m] + \mathcal{L}_{\text{env}}[m,\phi] + \mathcal{L}\text{int}[\phi]\right\}\right)$$



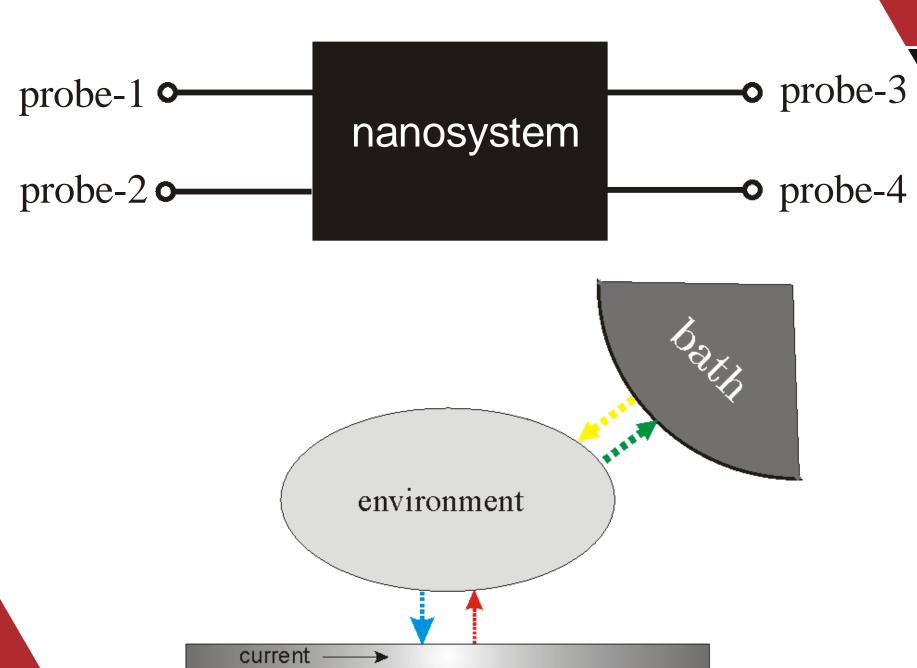
the non-equilibrium one-particle Green's function is obtained from that for the field operators

N. Chtchelkatchev and V. Vinokur, arXiv:0812.2372 (2009).

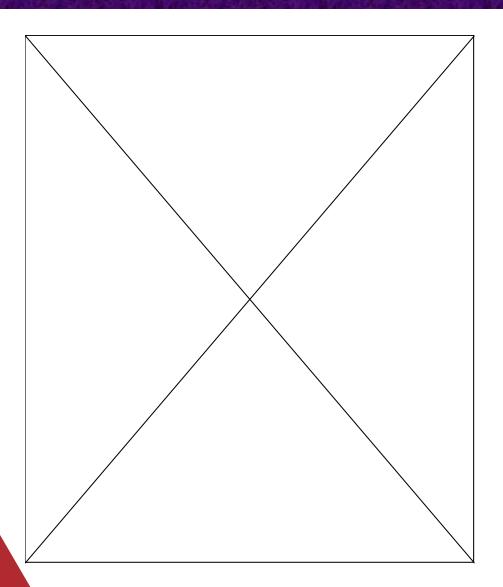
#### **Conclusions**

- a)  $R_T$   $l_{\text{in}}$  a)  $N^{(\text{out})}(\omega)+1$   $N^{(\text{in})}(\omega)$
- We have constructed a general theory of far from the equilibrium transport in large arrays of tunneling junctions
- We have derived analytical expression for bias-dependent effective temperature of the environment and found the I-V dependence for a single junction
- We have analyzed several consequences of general theory:
  - Simple derivation of "many body localization" result
  - Microscopic mechanism for insulator-superinsulator transition
  - Resolving the VRH pre-factor puzzle.

$$f(\epsilon)$$
 1- $f(\epsilon-\omega-\omega')$   $f(\epsilon)$  1- $f(\epsilon+\omega+\omega')$   $f(\epsilon)$  1- $f(\epsilon-\omega+\omega')$ 



#### Transport in granular materials: cotunneling



Relaxation mechanism: creation of the string of the electron-hole pairs

I. S. Beloborodov, A. V. Lopatin, and V. M. Vinokur Coulomb effects and hopping transport in granular metals

Phys. Rev. B 72 (12), 125121 - 125141 (2005)

The same mechanism was proposed in "Many body localization"

I. Gorny, A. Mirlin, D. Polyakov, Phys. Rev. Lett. **95**, 206603 (2005)

D. M. Basko, I. L. Aleiner, and B. L. Altshuler, Phys. Rev. B 76, 052203 (2007).

D. M. Basko, I. L. Aleiner, and B. L. Altshuler, Ann. Phys.(N.Y.) 321, 1126 (2006).

