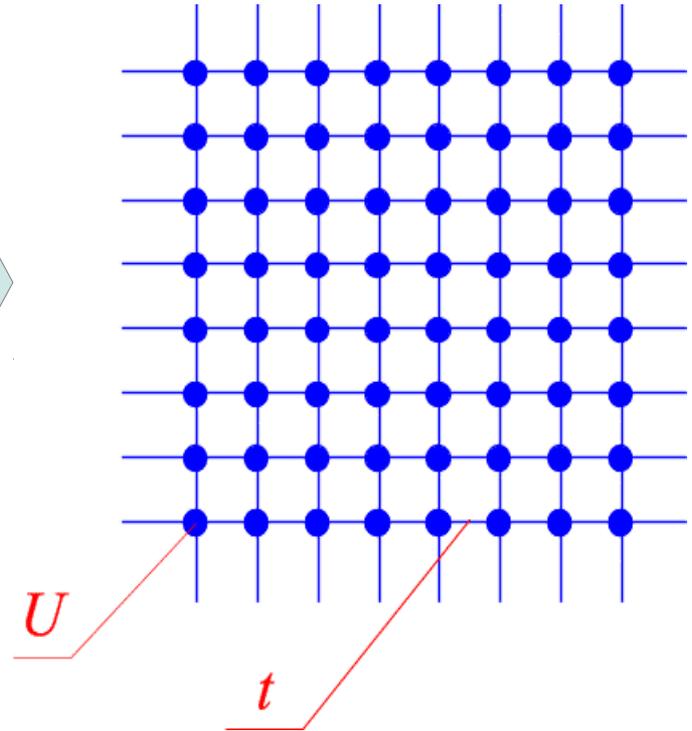
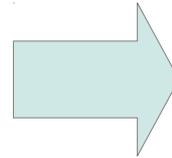
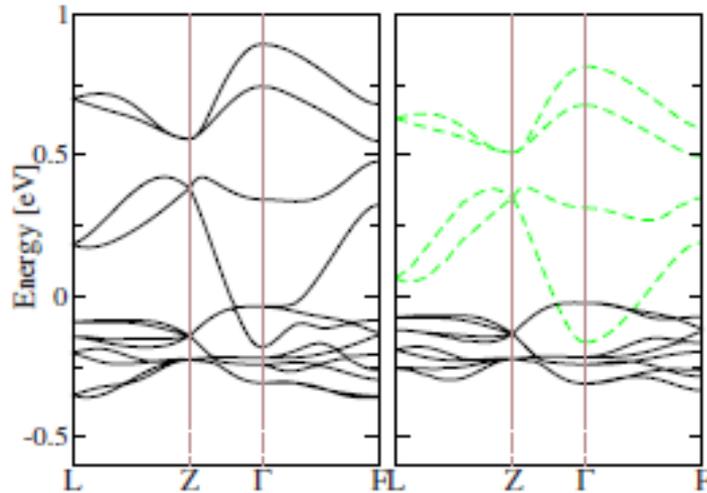


Квантовые методы Монте Карло в теории коррелированных систем

А.Н. Рубцов, МГУ

Сочи 2012

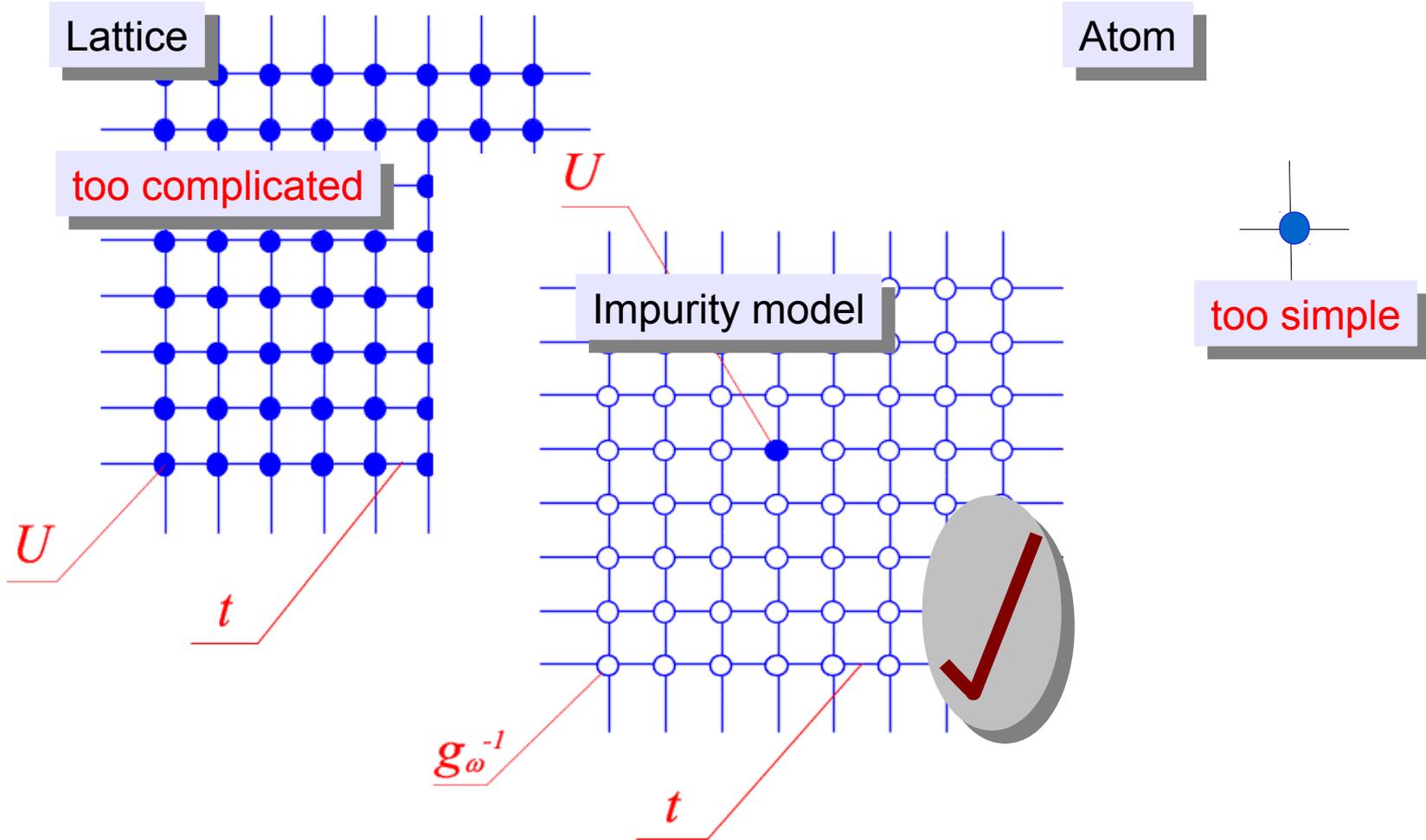
LDA+DMFT framework (beginning)



LDA+DMFT V₂O₃ quasiparticle bands obtained by truncating the self-energies. The left-hand panel gives the eigenvalues of the renormalized Hamiltonian and the right-hand side gives the pure *eg* black and *a_{1g}* dashed green band structure (from PhysRevB_76_085127)

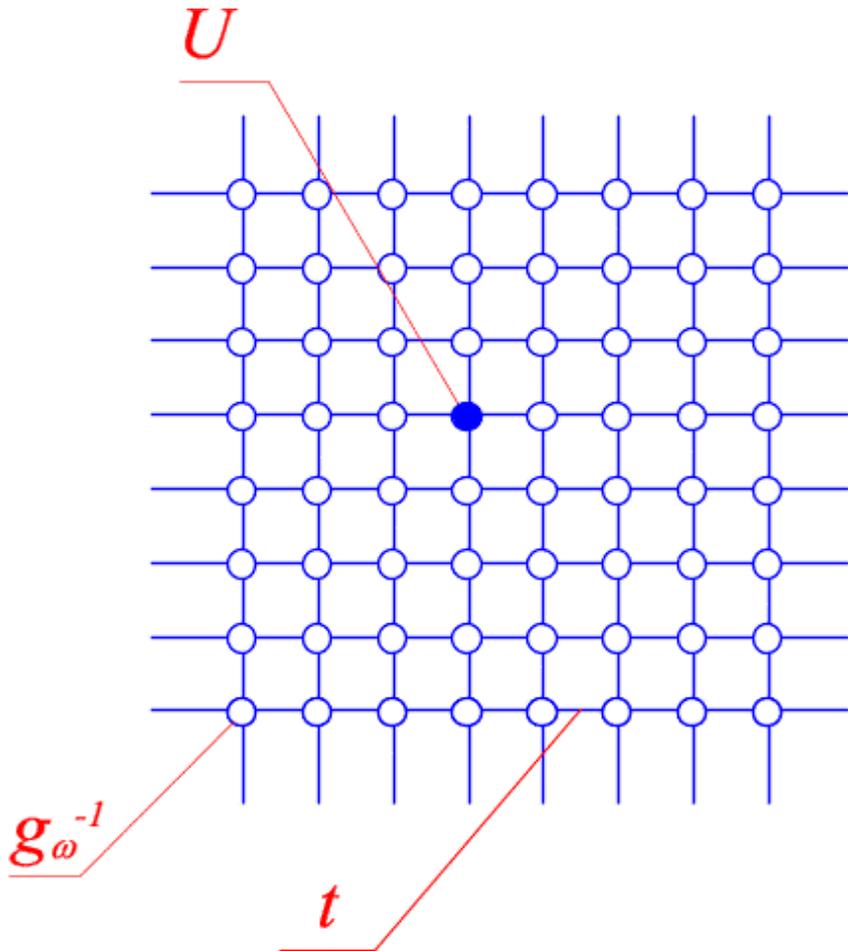
V. Anisimov, et al. J. Phys. CM **9**, 7359 (1997)
A. Lichtenstein, et. al. PRB, **57**, 6884 (1998)

Models systems



DMFT impurity problem

$$S_{imp} = \sum_{\omega, \sigma} (\Delta_{\omega} - \mu - i\omega) c_{\omega, \sigma}^* c_{\omega, \sigma} + U \int_0^{\beta} n_{\uparrow\tau} n_{\downarrow\tau} d\tau$$

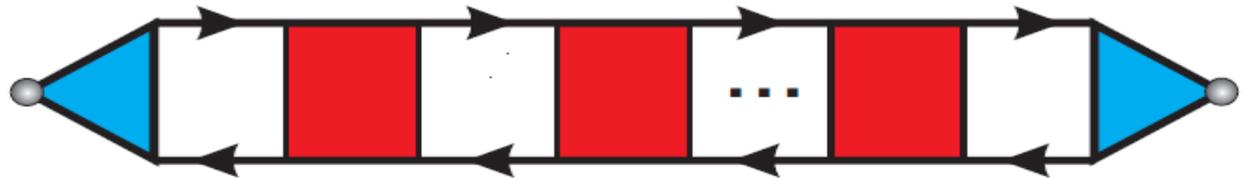


$$g_{\omega} = \sum_k \frac{N^{-1}}{g_{\omega}^{-1} - \epsilon_k + \Delta_{\omega}}$$

$$G_{\omega k} = \frac{1}{g_{\omega}^{-1} - \epsilon_k + \Delta_{\omega}}$$

Beyond DMFT

- DMFT is the best local approximation
- Going nonlocal means either cluster flavors of DMFT or expansion around DMFT
- Collective excitations are essentially nonlocal
- Vertex parts (or, equally, many-particle Green's function) of the impurity problem needed



Dual ladder diagram for magnons
Annals of Physics **327** 1320 (2012)

Mathematics behind Monte-Carlo methods

In Monte-Carlo methods, statistical and(or) quantum average is replaced with an average over Markov random walk

$$\langle G \rangle = \frac{\overline{G_K w_K}}{w_K}$$

Here random walk is performed over certain ensemble of auxiliary systems $\{K\}$; we should be able to calculate G_K and prove the above equality.

For classical Metropolis Monte-Carlo $\{K\}$ is an ensemble of possible coordinates $\{x\}$.

For world-line Monte-Carlo $\{K\}$ is an ensemble of imaginary-time trajectories $\{x(\tau)\}$.

For Hirsch-Fye scheme $\{K\}$ is an ensemble of auxiliary spins

**Algorithms can differ in the ensemble $\{K\}$
and in the Markov matrix.**

Optimal sampling

Averages are estimated stochastically

$$\langle G \rangle = \frac{\sum_K w_K G_K}{\sum_K w_K} = \frac{\overline{w_K G_K p_K^{-1}}}{\overline{w_K p_K^{-1}}}$$

Minimization of the variance

$$\overline{(w_K (G_K - \langle G \rangle) p_K^{-1})^2} = \min, \quad \sum_K p_K = 1$$

Gives

$$p_K \propto |w_K (G_K - \langle G \rangle)|$$

The most known is so-called importance sampling

$$p_K \propto |w_K|$$

Markov walk

Elementary moves satisfy

1) Detailed balance

(if one tries to go from K to K' , he also tries moves from K' to K)

2) Metropolis acceptance criterion

$$p_{K \rightarrow K'} = \min \left(\frac{p'_{K'}}{p_K}, 1 \right)$$

Normally, K and K' are "similar".

+ easy calculation of $p_{K \rightarrow K'}$

+ good acceptance rate

- autocorrelations

$$\delta G \approx \sqrt{\frac{D^2(G) N_{autocorr}}{N}}$$

Fermionic algorithms prior to CT-QMC

Hubbard-Stratonovich transformation:

R. L. Stratonovich, 1957

J. Hubbard, 1959

D. J. Scalapino and R. L. Sugar, 1981

Discrete transformation:

J.E. Hirsch (Phys. Rev. B 28, p.4059, 1983)

$$e^{-\delta\tau U \hat{n}_\uparrow \hat{n}_\downarrow + \hat{A}} \approx \frac{1}{2} \sum_{s=\pm 1} e^{s\sqrt{\delta\tau U}(\hat{n}_\uparrow - \hat{n}_\downarrow) - \delta\tau U(\hat{n}_\uparrow + \hat{n}_\downarrow) + \hat{A}}$$

No time discretisation:

S.M.A.Rombouts, K.Heyde, and N.Jachowicz (1999)

Interaction expansion

can be any $(G^{(0)})^{-1}$

$$S = -(i\omega + \mu) \sum_{\omega s} c_{\omega s}^\dagger c_{\omega s} + U \int_0^\beta n_{\tau\uparrow} n_{\tau\downarrow} d\tau$$

W

Consider the series with respect to W (in the interaction representation)

$$Z = \text{Sp}T e^{-\int W^0(\tau) d\tau}, \quad G(\tau, \tau') = Z^{-1} \text{Sp}T c^\dagger(\tau) c(\tau') e^{-\int W^0(\tau) d\tau}$$

$$T e^{-\int W^0(\tau) d\tau} = 1 + U \int n_\uparrow^0(\tau) n_\downarrow^0(\tau) d\tau + \frac{1}{2!} U^2 T \int \int n_\uparrow^0(\tau_1) n_\downarrow^0(\tau_1) n_\uparrow^0(\tau_2) n_\downarrow^0(\tau_2) d\tau d\tau' + \dots$$

The series always converges for a finite fermionic system at finite temperature.

Idea of algorithm is

to perform a random walk in the space of $\{k, (\text{arguments of integrals})\}$.

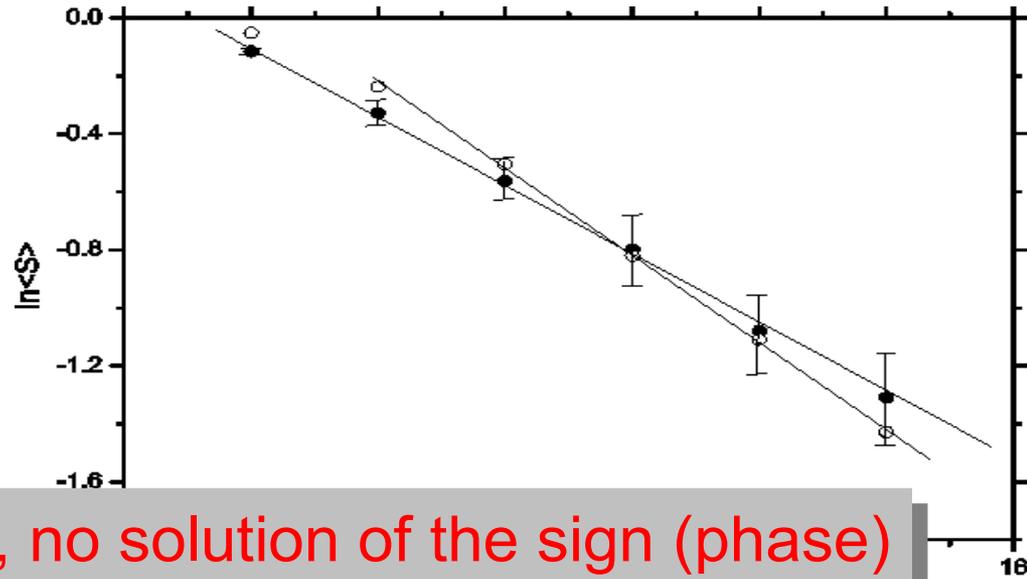
A.R., V. V. Savkin, and A. I. Lichtenstein,
Phys. Rev. B 72 035122 (2005).

Optimizing the average sign

"Trivial" sign problem:

Idea of solution:

Interact



For real-time evolution, no solution of the sign (phase) problem is known

Random walk in K-space

Call state K the set

$$K = k, t_1 \dots t_k, r_1 \dots r_k$$

$$Z = Z_0 + \int Z_1 + \dots + \int \dots \int Z_{k-2} + \int \dots \int Z_{k-1} + \int \dots \int Z_k + \int \dots \int Z_{k+1} + \int \dots \int Z_{k+2} + \dots$$

The diagram illustrates a random walk in K-space. It shows a sequence of integrals Z_k for $k=0, 1, 2, \dots$. The integrals are connected by arrows indicating transitions between states. Specifically, there are arrows from Z_{k-2} to Z_{k-1} , from Z_{k-1} to Z_k , from Z_k to Z_{k+1} , and from Z_{k+1} to Z_{k+2} . The labels $k-2$, $k-1$, k , $k+1$, and $k+2$ are placed above and below the corresponding integrals.

and perform a random walk with probability density

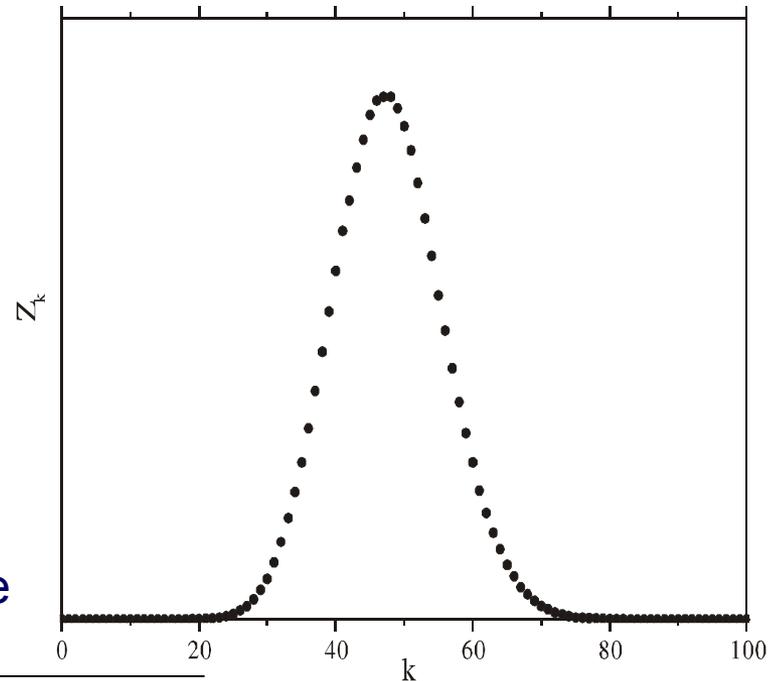
$$p(K) \propto Z_k = \text{Sp} T W_0(\tau_1, r_1) \dots W_0(\tau_k, r_k)$$

Trace here can be explicitly calculated from the Wick theorem (H_0 is Gaussian!)

$$\langle T c_1^\dagger c_1 \dots c_k^\dagger c_k \rangle = \det ||g_{ij}||$$

It is possible to prove that for such an ensemble

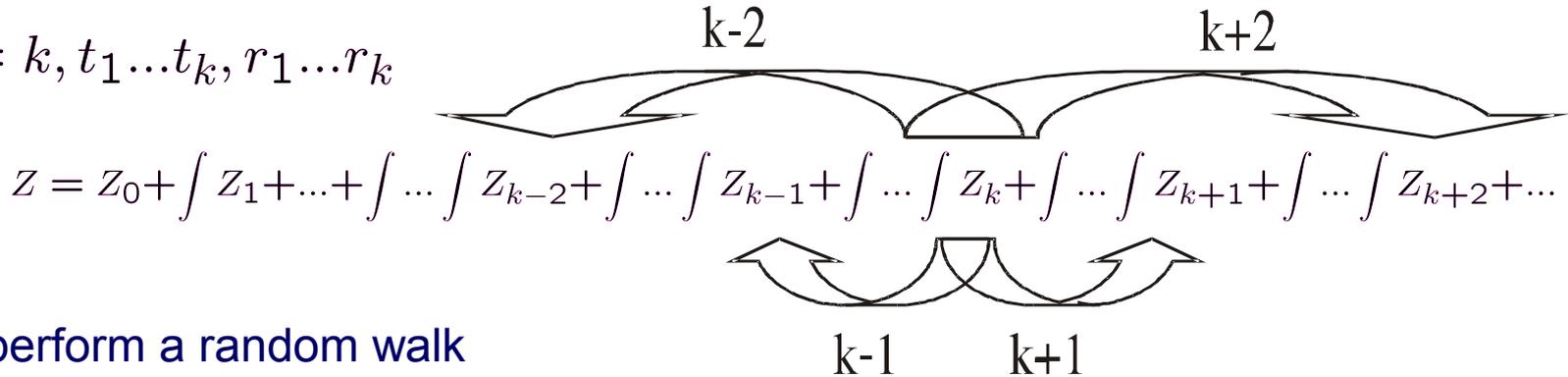
$$G = \overline{g_0}, \text{ or } \langle T c^\dagger(\tau) c(\tau') \rangle = \overline{\langle T c^\dagger(\tau) c(\tau') \rangle} > 0$$



Random walk in K-space

Call state K the set

$$K = k, t_1 \dots t_k, r_1 \dots r_k$$



and perform a random walk with probability density

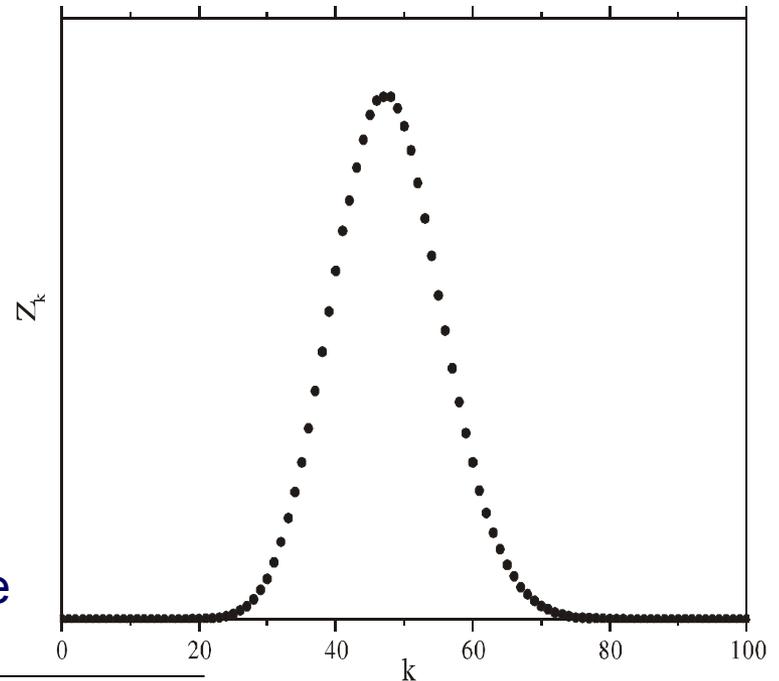
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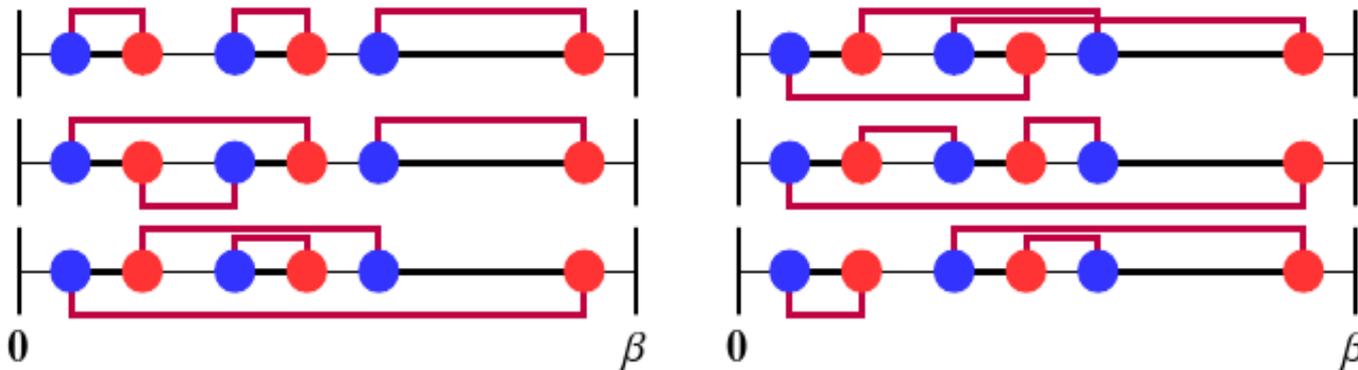
$$G = \overline{g_0}, \text{ or } \langle T c^\dagger(\tau) c(\tau') \rangle = \overline{\langle T c^\dagger(\tau) c(\tau') \rangle} > 0$$



Strong-coupling expansion

$$S = S_{at} + \Delta_{\tau-\tau' ss'} c_{s\tau}^\dagger c_{s'\tau'}$$

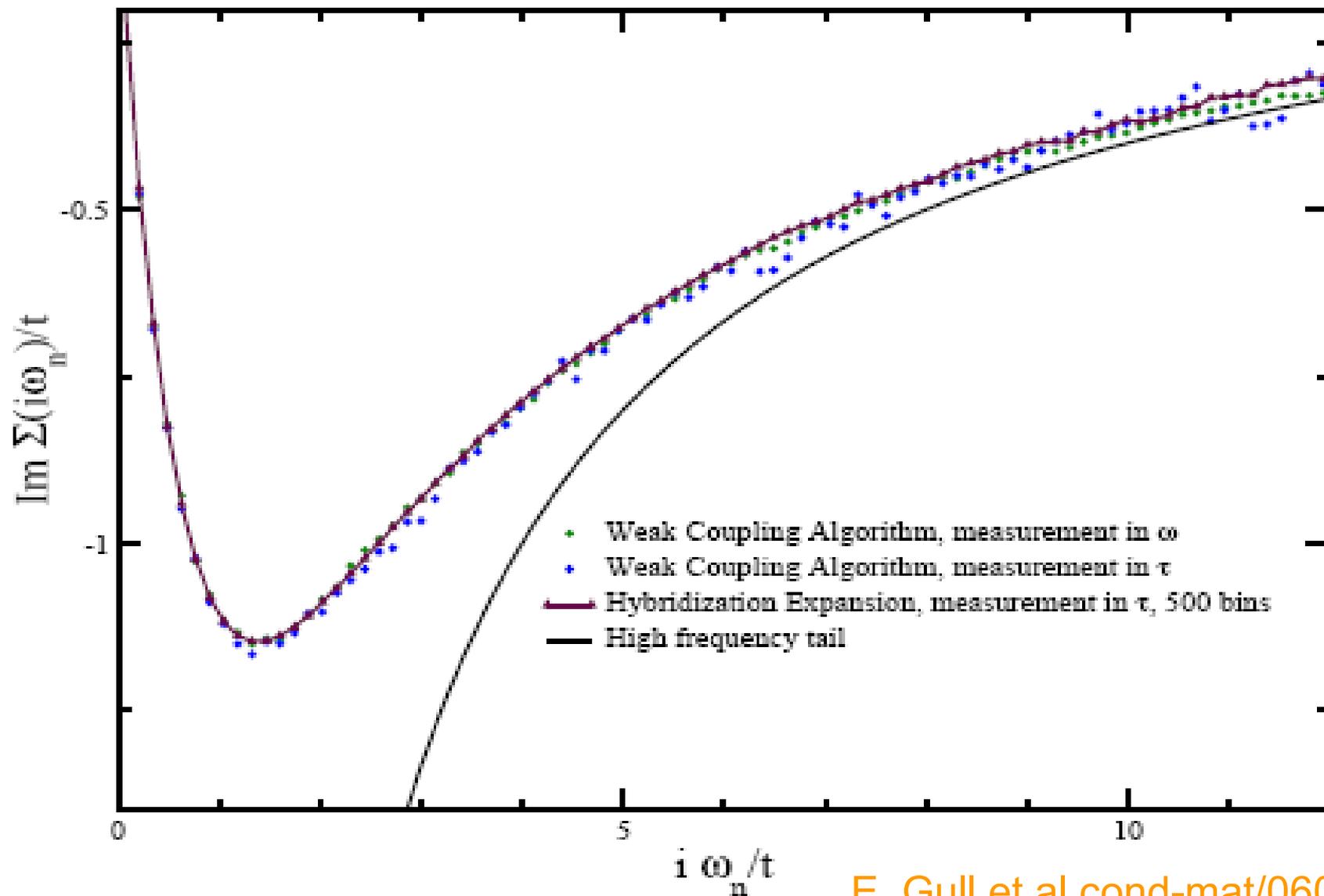
The idea is to expand in hybridization



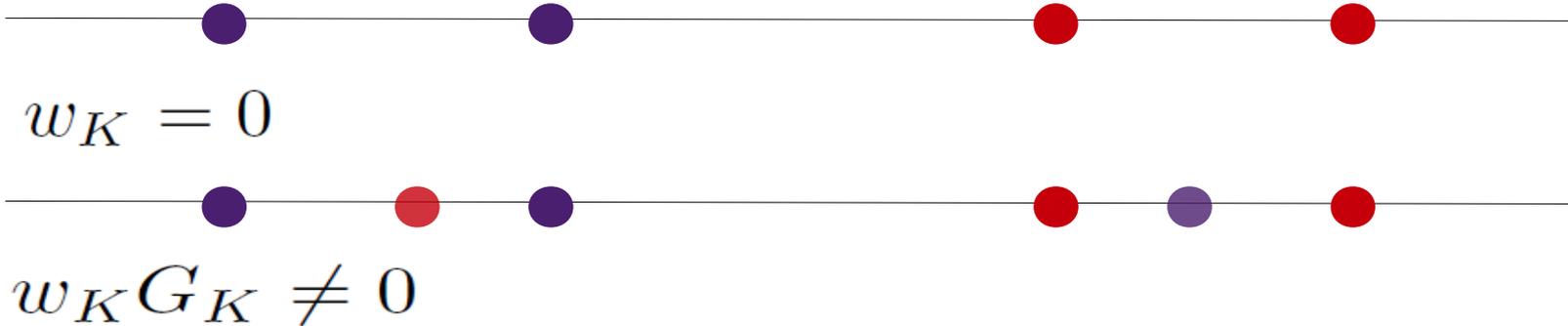
“Метод интегрирования по траекториям ... фактически никогда не был полезен при рассмотрении вырожденных Ферми-систем”

Р. Фейнман, А.Хиббс

Квантовая механика и интегралы по траекториям

Calculation with different CT-QMC schemes

Optimum sampling



Recall

$$\langle G \rangle = \frac{\sum_K w_K G_K}{\sum_K w_K} = \frac{\overline{w_K G_K p_K^{-1}}}{\overline{w_K p_K^{-1}}}$$

Importance sampling

$$p_K \propto |w_k (G_K - \langle G \rangle)| \approx |w_k|$$

Better take

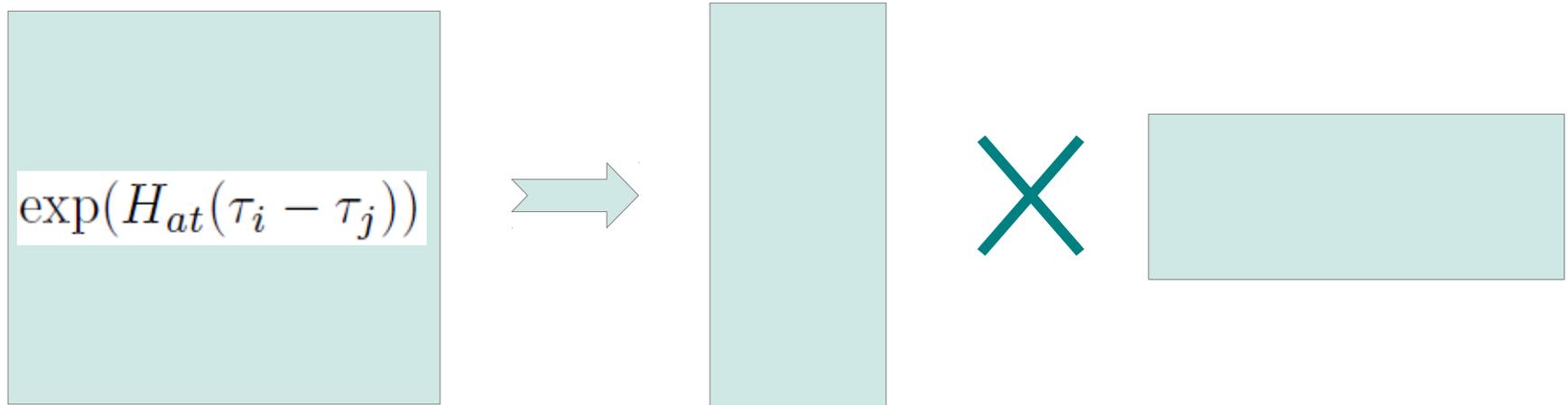
$$p_K \propto |w_k (G_K - \langle G \rangle)| \approx |w_k| \sqrt{G_K^2 + 1}$$

Speeding up:

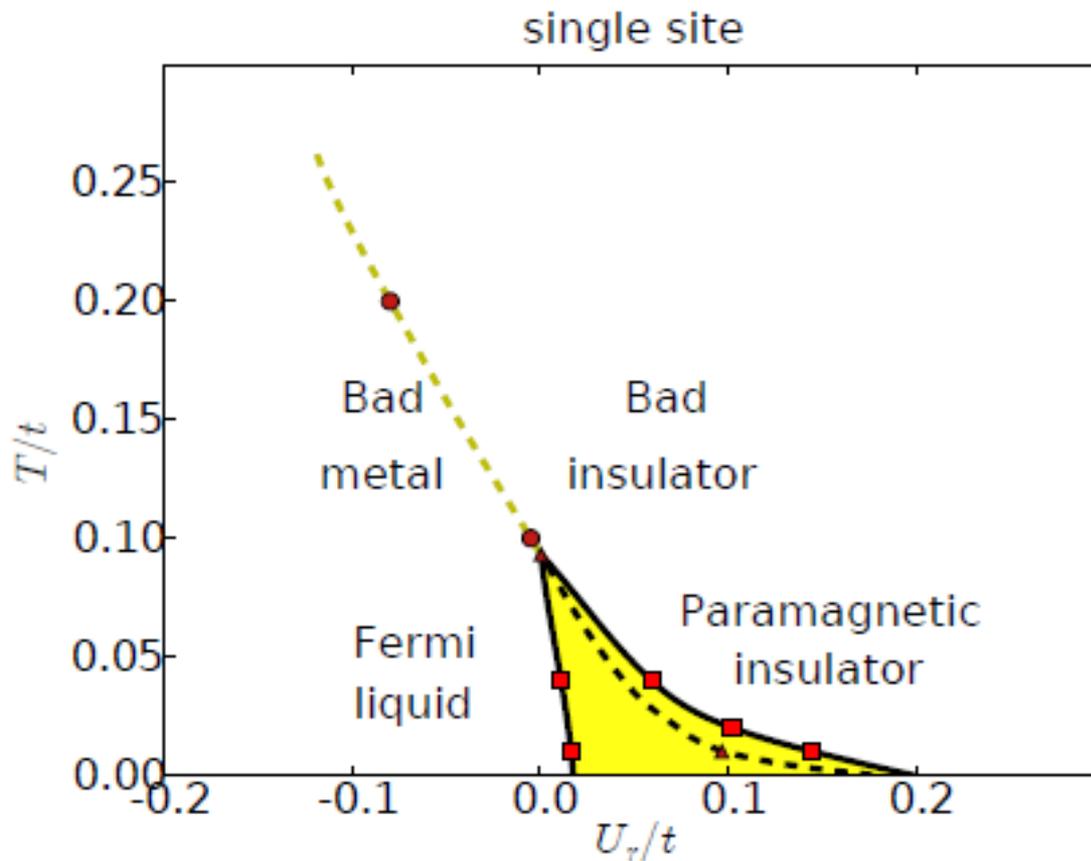
Fast updates

$$(A + x \cdot y)^{-1} = A^{-1} - \frac{A^{-1}x \cdot yA^{-1}}{1 + (yA^{-1}x)}$$

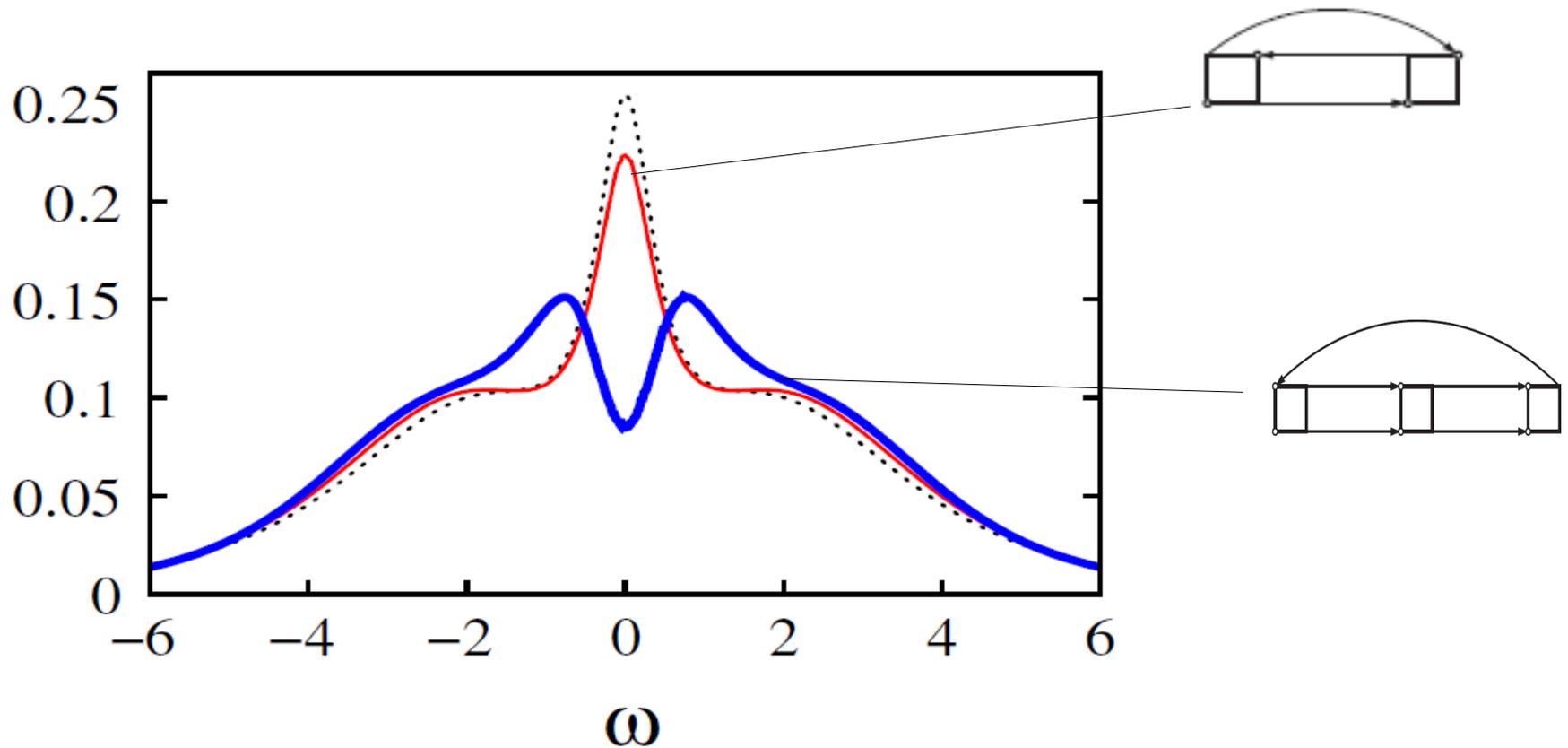
Krylov method



DMFT phase diagram of Hubbard model

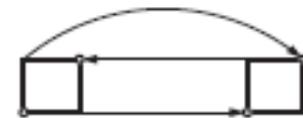
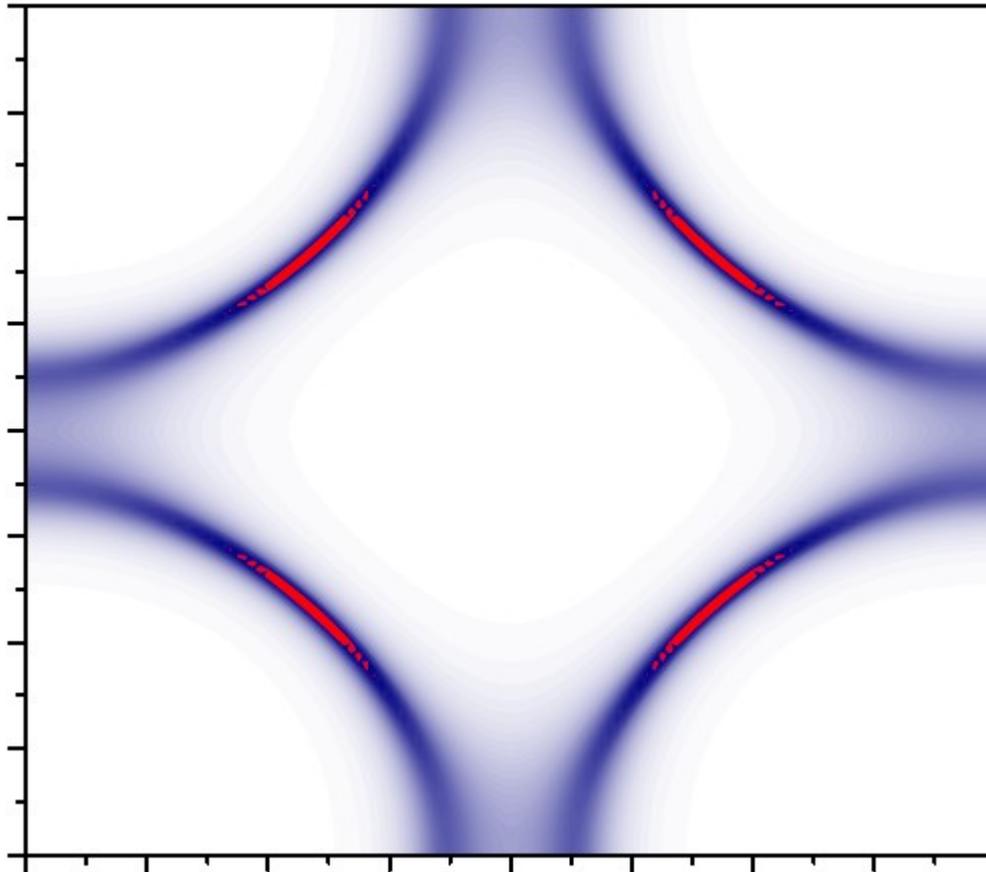


DF calculation of "paramagnetic" pseudogap in Hubbard model

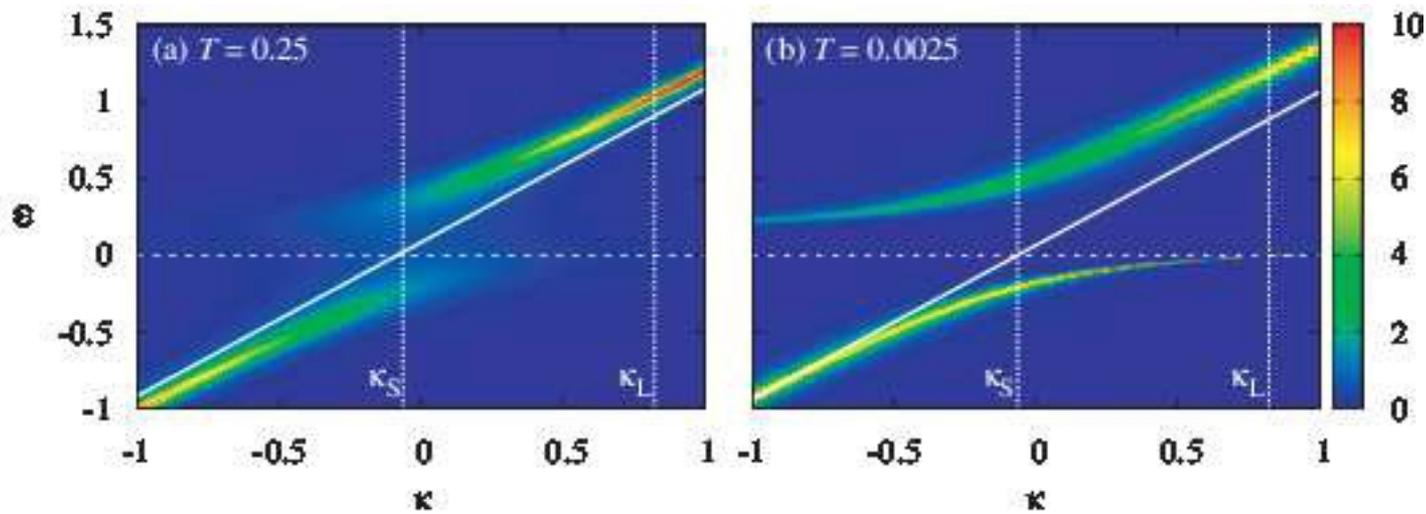


DF calculation of Fermi arcs in doped Hubbard model

b=80 U=2 t=0.25 t'=-0.3t doing 10%



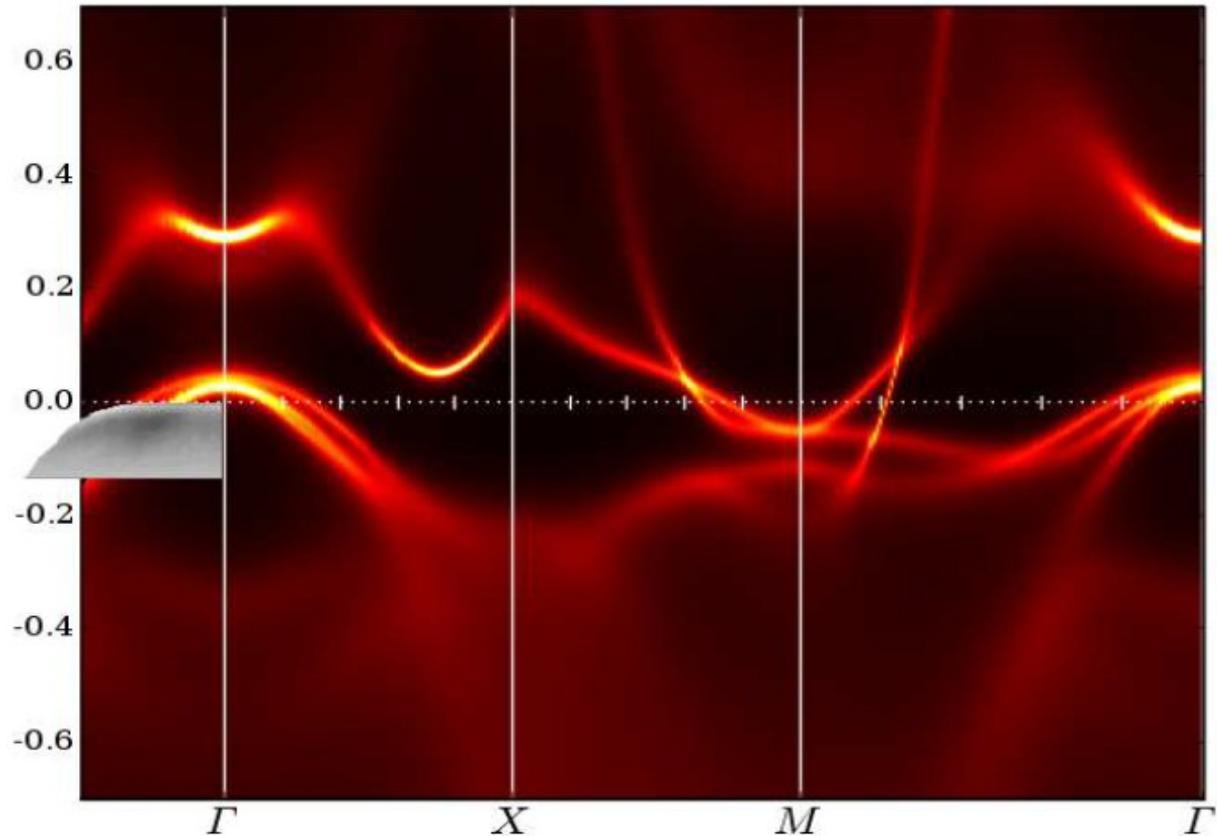
Studies of a Kondo lattice model



The single-particle excitation spectrum for $J = 0.3$ and $nc = 0.9$ at (a) $T = 0.25$ and (b) $T = 0.0025$

Otsuki, J., H. Kusunose, and Y. Kuramoto (2009), Phys. Rev. Lett. 102 (1), 017202

Real materials, multiorbital models

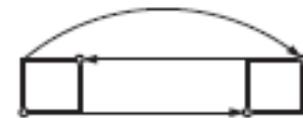
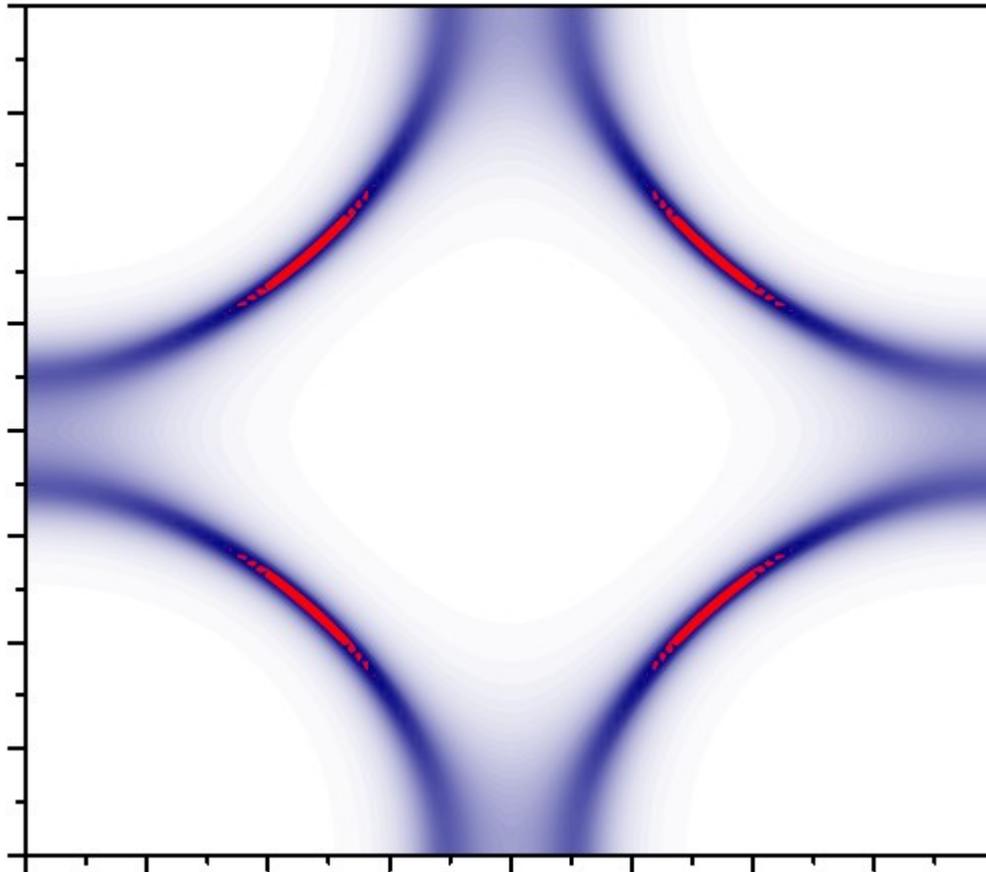


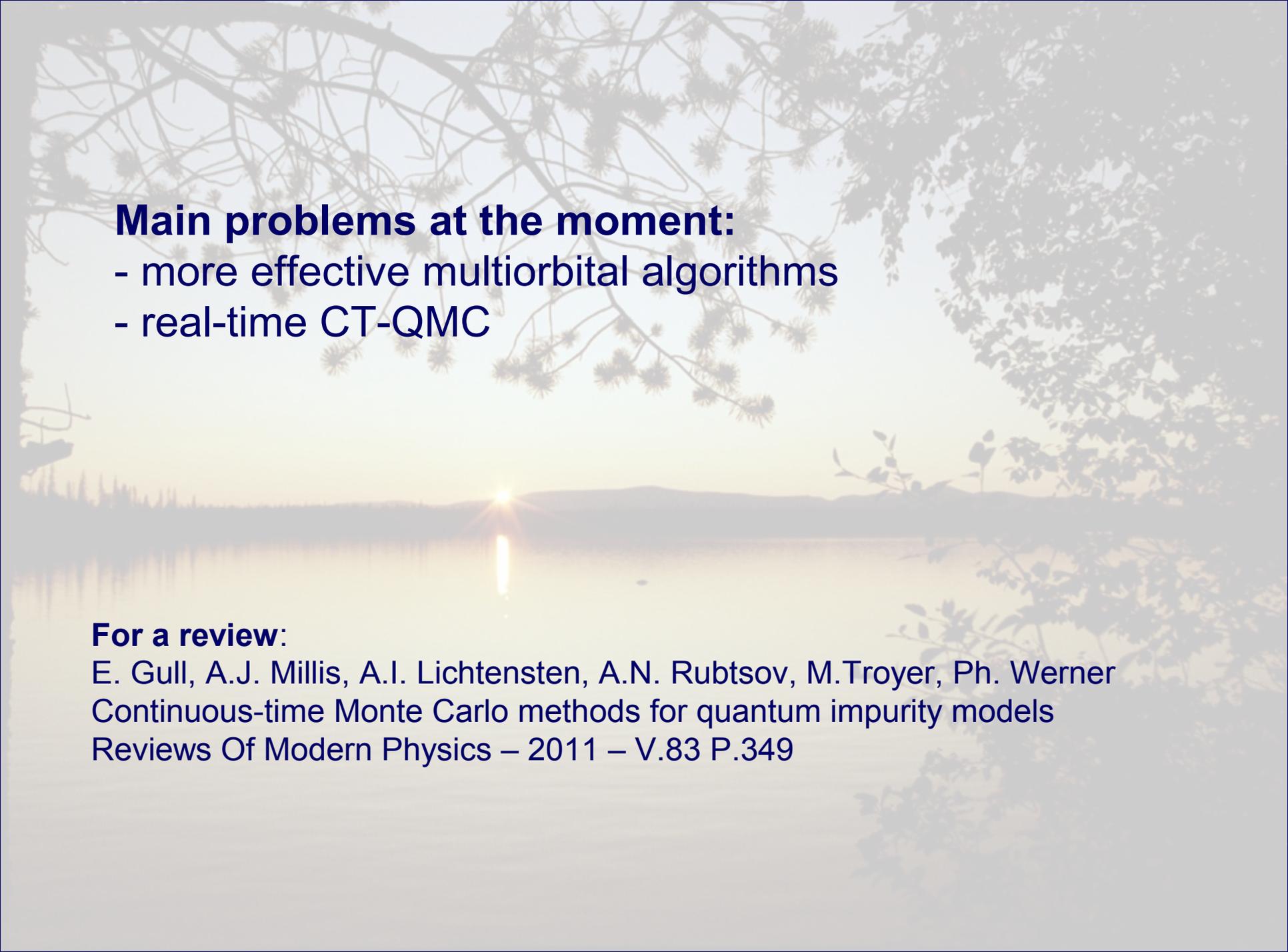
Momentum resolved spectral function calculated for BaFe₂As₂

Kutepov, A., K. Haule, S. Y. Savrasov, and G. Kotliar
(2010), Phys. Rev. B 82 (4), 045105

DF calculation of Fermi arcs in doped Hubbard model

b=80 U=2 t=0.25 t'=-0.3t doing 10%





Main problems at the moment:

- more effective multiorbital algorithms
- real-time CT-QMC

For a review:

E. Gull, A.J. Millis, A.I. Lichtensten, A.N. Rubtsov, M.Troyer, Ph. Werner
Continuous-time Monte Carlo methods for quantum impurity models
Reviews Of Modern Physics – 2011 – V.83 P.349